

## Electronic Acknowledgement Receipt

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|---|---|
| <b>EFS ID:</b>                              | 7873891   |
| <b>Application Number:</b>                  | 10716305  |
| <b>International Application Number:</b>    |   |
| <b>Confirmation Number:</b>                 | 6925  |
| <b>Title of Invention:</b>                  | System and method for managing relationships between brokers and traders using a messaging format |
| <b>First Named Inventor/Applicant Name:</b> | Alastair J.D. Beadle  |
| <b>Customer Number:</b>                     | 63710   |
| <b>Filer:</b>                               | Glen Farbanish/Laura Alto   |
| <b>Filer Authorized By:</b>                 | Glen Farbanish  |
| <b>Attorney Docket Number:</b>              | 03-6182   |
| <b>Receipt Date:</b>                        | 23-JUN-2010   |
| <b>Filing Date:</b>                         | 18-NOV-2003   |
| <b>Time Stamp:</b>                          | 12:48:19  |
| <b>Application Type:</b>                    | Utility under 35 USC 111(a)   |

### Payment information:

|                        |    |
|------------------------|----|
| Submitted with Payment | no |
|------------------------|----|

### File Listing:

| Document Number | Document Description | File Name                | File Size(Bytes)/Message Digest                   | Multi Part/.zip | Pages (if appl.) |
|-----------------|----------------------|--------------------------|---|-----------------|------------------|
| 1               | Transmittal Letter   | 03-6182_100623_IDS-2.pdf | 43606<br>12815973146e111a626a130b92469942<br>d0fc | no              | 5                |

### Warnings:

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| Information: |
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|---|--|------------------------------------|--|--------|---|--|--|
| 2   | Information Disclosure Statement (IDS) Filed (SB/08) | 03-6182_100623_1449-2.pdf          | 24658<br>f0a934fb6a1e1e5c5d1a4812611ed14ed9a<br>30fb | no     | 1 |  |  |
| <b>Warnings:</b>  |  |                                    |  |        |   |  |  |
| <b>Information:</b>   |  |                                    |  |        |   |  |  |
| This is not an USPTO supplied IDS fillable form   |  |                                    |  |        |   |  |  |
| 3   | NPL Documents  | PCT-US04-36014_IPER_05-22-2006.pdf | 160074<br>30cc27feecfb7d55b98fb57ca4f238ed9b<br>b66a | no     | 4 |  |  |
| <b>Warnings:</b>  |  |                                    |  |        |   |  |  |
| <b>Information:</b>   |  |                                    |  |        |   |  |  |
| Total Files Size (in bytes):  |  |                                    |  | 421421 |   |  |  |
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| <b>New Applications Under 35 U.S.C. 111</b>   |  |                                    |  |        |   |  |  |
| If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.  |  |                                    |  |        |   |  |  |
| <b>National Stage of an International Application under 35 U.S.C. 371</b>   |  |                                    |  |        |   |  |  |
| If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.  |  |                                    |  |        |   |  |  |
| <b>New International Application Filed with the USPTO as a Receiving Office</b>   |  |                                    |  |        |   |  |  |
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